

Module description: Legal Foundations Banking & Finance		
Module Code	w.BA.XX.3RBF-BF.XX	
ECTS Credits	6	
Language of Instruction/Examination	German	
Module Description	The module provides essential legal knowledge for the banking and finance sector. It includes both public and private law and covers topics such as banking law (BankG, BankV, FINMA supervisory law, FIDLEG, FINIG), property law (acquisition of property, liens, mortgages), company law (legal forms, AG, GmbH), tax law (taxation of partnerships and legal entities), and capital market law (financial infrastructure, IPOs, securities law, insider trading). The module is supplemented by practice-oriented presentations. The aim is to enable students to analyze legal issues of practical relevance in the financial sector and to develop applicable solutions.	
Organizational Unit	Institut für Wealth & Asset Management	
Module Coordinator	Anita Sigg	
Deputy Module Coordinator	Patrick Hauf	
Program and Specialization	<ul style="list-style-type: none"> Business Administration - Specialization in Banking and Finance 	
Legal Framework	Academic Regulations BSc dated 29.01.2009, for the degree programs in Business Administration, International Management, Business Information Technology, Business Law, Business Law and Applied Law, first adopted on 12.05.2009	
Module Category	Module Type Compulsory	Program Phase Main Study Period
Prerequisite Knowledge	The module builds on previous knowledge of the module "Business Law" (1st semester).	
Contribution to Program Learning Objectives (by the concerned Module)	<ul style="list-style-type: none"> Professional Competence Methodological Competence Social Competence Self-Competence 	
Contribution to Program Learning Objectives	<p>Professional Competence</p> <ul style="list-style-type: none"> Knowing and Understanding Content of Theoretical and Practical Relevance Apply, Analyze, and Synthesize Content of Theoretical and Practical Relevance Evaluate Content of Theoretical and Practical Relevance <p>Methodological Competence</p> <ul style="list-style-type: none"> Problem-Solving & Critical Thinking Scientific Methodology Work Methods, Techniques, and Procedures Information Literacy <p>Social Competence</p> <ul style="list-style-type: none"> Written Communication Oral Communication Teamwork & Conflict Management <p>Self-Competence</p> <ul style="list-style-type: none"> Self-Management & Self-Reflection Ethical & Social Responsibility Learning & Change 	

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Module Learning Objectives	Students... <ul style="list-style-type: none">understand the importance of the legal framework for the financial sector and can assess its impact on practice.are able to understand and apply the basic principles of public banking law in order to meet regulatory requirements.can explain the principles of capital market law, including IPOs and insider trading, and apply them in real-life scenarios.are able to differentiate and apply the legal structures and requirements of different company forms such as AG and GmbH.understand the tax law aspects of the taxation of partnerships and corporations and can apply these in practice.can analyze ownership and lien situations and make legally sound decisions.are able to understand the content conveyed in the practical presentations and apply it to real professional challenges in the banking and finance sector.																																						
Module Content	Introduction to law Public banking law Capital market law Property law Company law Tax law																																						
Links to other modules	This module is linked to the following modules:																																						
Digital Learning Resources	<ul style="list-style-type: none">Reader																																						
Methods of Instruction	<ul style="list-style-type: none">Case StudiesApplication TasksProblem-Oriented TeachingExercises		Social Settings Used: <ul style="list-style-type: none">Individual WorkGroup WorkPair Work																																				
Type of Instruction	<table><tr><td></td><td>Classroom Instruction</td><td>Guided Self-Study</td><td colspan="2">Autonomous Self-Study</td></tr><tr><td>Large Class</td><td>28 h</td><td>28 h</td><td colspan="2"></td></tr><tr><td>Small Class</td><td>28 h</td><td>28 h</td><td colspan="2"></td></tr><tr><td>Group Instruction</td><td>-</td><td>-</td><td colspan="2"></td></tr><tr><td>Practical Work</td><td>-</td><td>-</td><td colspan="2"></td></tr><tr><td>Seminar</td><td>-</td><td>-</td><td colspan="2"></td></tr><tr><td>Total</td><td>56 h</td><td>56 h</td><td colspan="2">68 h</td></tr></table>					Classroom Instruction	Guided Self-Study	Autonomous Self-Study		Large Class	28 h	28 h			Small Class	28 h	28 h			Group Instruction	-	-			Practical Work	-	-			Seminar	-	-			Total	56 h	56 h	68 h	
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Classroom Attendance Requirement	None																																						

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Compulsory Reading	
Recommended Reading	
Comments	