

Valid from 2025.HS

Module description: Corporate Governance, Ethics and Leadership	
Module Code	w.MA.XX.CGEL.19HS
ECTS Credits	6
Language of Instruction/Examination	German
Module Description	<p>The module focuses on the foundations of the non-financial management and control of banks and financial services, incl. current issues related to diversity and inclusion. An important component of corporate governance is the interaction between a company's management (executive board, group/management board), its supervisory body (board of directors), and its shareholders and other stakeholders/stakeholder groups (employees, business partners, social partners, taxpayers; society as a whole). Good corporate governance forms the basis for a healthy corporate culture and strong leadership. Ethics and leadership are crucial for the implementation of and compliance with corporate governance principles to ensure that companies are managed responsibly and sustainably. A particular focus of the module is on the "3 Lines of Defense" for the effective management, steering, and control of regulated banks.</p>
Organizational Unit	Institut für Wealth & Asset Management
Module Coordinator	Christoph Künzle
Deputy Module Coordinator	Johannes Höllerich
Program and Specialization	<ul style="list-style-type: none"> • Banking and Finance
Legal Framework	Academic Regulations MSc in Banking and Finance dated 29.09.2011, Appendix to the Academic Regulations for the degree program in Banking and Finance, first adopted on 28.08.2012
Module Category	Module Type Compulsory
Prerequisite Knowledge	
Contribution to Program Learning Objectives (by the concerned Module)	<ul style="list-style-type: none"> • Professional Competence • Methodological Competence • Social Competence • Self-Competence
Contribution to Program Learning Objectives	<p>Professional Competence</p> <ul style="list-style-type: none"> • Knowing and Understanding Content of Theoretical and Practical Relevance • Apply, Analyze, and Synthesize Content of Theoretical and Practical Relevance • Evaluate Content of Theoretical and Practical Relevance <p>Methodological Competence</p> <ul style="list-style-type: none"> • Problem-Solving & Critical Thinking • Scientific Methodology • Work Methods, Techniques, and Procedures • Information Literacy • Creativity & Innovation <p>Social Competence</p> <ul style="list-style-type: none"> • Written Communication • Oral Communication • Teamwork & Conflict Management • Intercultural Insight & Ability to Change Perspective <p>Self-Competence</p> <ul style="list-style-type: none"> • Self-Management & Self-Reflection • Ethical & Social Responsibility • Learning & Change

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Module Learning Objectives	Students... <ul style="list-style-type: none">• are able to describe the concepts of corporate governance and classify them theoretically.• become familiar with the relevance of corporate governance for banks and financial service companies in current topic areas.• understand the significance and the interrelationships of the various stakeholder groups.• understand the high significance of applied ethics to banks and financial service companies.• become familiar with the possibilities and limitations of leadership concepts.• understand the "3 Lines of Defense" for the effective management, steering and control of banks and can explain and apply them in specific situations.																																	
Module Content	<ul style="list-style-type: none">• Principles of non-financial management, steering and control of banks and financial service companies (including case examples)• Concepts of corporate governance (including case examples and class discussion)• Ethical aspects of leadership (including case examples, class discussion, and group assignments)• Leadership competencies (including case examples)• "3 Lines of Defense" (incl. case studies)																																	
Links to other modules	This module is linked to the following modules: <ul style="list-style-type: none">• w.MA.XX.BPM.19HS• w.MA.XX.BMO.19HS• w.MA.XX.SIM.19HS• w.MA.XX.IRB.19HS• w.MA.XX.PMA.19HS																																	
Digital Learning Resources	<ul style="list-style-type: none">• Teaching Videos• Practice and Application Exercises (with Key)• Case Studies (with Key)• Multiple Choice Tests																																	
Methods of Instruction	<ul style="list-style-type: none">• Literature Review• Case Studies• Explorative Learning• Exercises• Lecture• Problem-Oriented Teaching• Interactive Instruction		Social Settings Used: <ul style="list-style-type: none">• Individual Work• Group Work																															
Type of Instruction	<table><tr><td></td><td>Classroom Instruction</td><td>Guided Self-Study</td><td colspan="2">Autonomous Self-Study</td></tr><tr><td>Lecture</td><td>-</td><td>-</td><td colspan="2"></td></tr><tr><td>Excercise</td><td>4 h</td><td>-</td><td colspan="2"></td></tr><tr><td>Project Work</td><td>-</td><td>60 h</td><td colspan="2"></td></tr><tr><td>Seminar</td><td>36 h</td><td>20 h</td><td colspan="2"></td></tr><tr><td>Total</td><td>40 h</td><td>80 h</td><td colspan="2">60 h</td></tr></table>					Classroom Instruction	Guided Self-Study	Autonomous Self-Study		Lecture	-	-			Excercise	4 h	-			Project Work	-	60 h			Seminar	36 h	20 h			Total	40 h	80 h	60 h	
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Performance Assessment	<table><tr><td colspan="2">End-of-module exam</td><td>Form</td><td>Length (min.)</td><td>Weighting</td></tr><tr><td colspan="2">-</td><td></td><td></td><td></td></tr><tr><td colspan="2">Permitted Resources</td><td colspan="3"></td></tr></table> <table><tr><td>Others</td><td>Assessment</td><td>Format</td><td>Length (min.)</td><td>Weighting</td></tr><tr><td>Talk/oral presentation</td><td>Grade</td><td>Gruppenarbeit</td><td>15</td><td>100.00</td></tr></table>				End-of-module exam		Form	Length (min.)	Weighting	-					Permitted Resources					Others	Assessment	Format	Length (min.)	Weighting	Talk/oral presentation	Grade	Gruppenarbeit	15	100.00					
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Classroom Attendance Requirement	Other Attendance is compulsory for the lectures, mock presentation, and final presentation of the group case studies.																																	

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Compulsory Reading	<ul style="list-style-type: none">• Welge, M. & Eulerich, M. (2014). Corporate-Governance-Management. Theorie und Praxis der guten Unternehmensführung. 2. Auflage edition. Wiesbaden: Springer Gabler. ISBN 978-3-8349-4538-9. ISBN ebook 978-3-8349-4539-6.
Recommended Reading	<ul style="list-style-type: none">• Detailed instructions concerning the literature will be issued at the start of the semester.
Comments	